

Waters Corporation
US Capital Expenditure Authorization (CEA) Form
Version - January 2007

Corporate Finance Use

CEA WPPL18025

Account 170100

I. General Information

1. Description of Asset(s) and Project: SERVERS UPGRADE

2. Department Name: WPPL 3. Cost Center: WP21 4. Company Code: SG02

5. Requestor: Luo Huazhen Telephone: (65) 6593 7044

6. Financial Analyst: Li Yi, Ang Telephone: (65) 6593 7425

7. ☒ Original CEA Request or ☐ Supplemental Request - Original CEA: WPPL18025

8. Will asset(s) reside at a Waters facility? ☒ Yes - City: Singapore State: Singapore (1 unit in WPPL office as backup)

☒ No - Company Name, Address & Contact: CEI Batam, CEI Singapore, Flex Kallang, Flex Senai, GES Venture

II. Summary of Budget and Requested Amounts

Budget and Requested Amounts:

9. Budget 28,947

10. Original CEA Amount 28,947

11. Supplemental Amount

12. Total CEA Amount 28,947

13. (Over)/ Under Budget -

Cost Components Including Supplemental Amount:

15. Purchased Materials - External Vendors 28,947

16. Services

17. Consulting / Contractors - External Labor

18. Waters Employee Labor

19. Waters Stock Withdrawal / Internal Purchase @ Mfg Cost

20. Total CEA Amount 28,947

Tax Department Use

14. Is this a purchase from a Waters subsidiary? ☒ no ☐ yes - Transfer Price Amount:

III. Identifiable Asset(s) and Project Components

21. Description	22. Quantity	23. Cost / Each	24. Total Cost	25. Useful Life/Years
PowerEdge T330 Server as quote 31917688/4	2	3,793	7,586	3
PowerEdge R330 Server as quote 31917688/4	4	3,903	15,612	3
APC Smart-UPS X 750VA Rack/Tower LCD 230V	4	586	2,343	3
APC Smart-UPS 750VA LCD 230v as quote DT/Waters/270918-APCr1.0	1	366	366	3
APC Temperature and Humidity Sensor as quote DT/Waters/270918-APCr1.0	5	139	696	3
UPS Network Management Card with PowerChute as quote DT/Waters/270918-APCr1.0	5	469	2,343	3
			28,947	

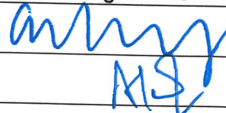
IV. Approvals

One operational and one financial approval is required from within the same division for CEA's up to \$1M. See matrix below. Obtaining a higher level of approval within the Operational and Financial categories is allowed. See instructions for additional details regarding approval requirements.

Highest Level of Approvals Required

	Operational	Financial
Budgeted up to \$100k	Cost Center Manager	Financial Analyst
Unbudgeted up to \$100k	Cost Center Manager	Controller
Budgeted & Unbudgeted up to \$250k	Division V.P.	Division V.P., Finance
Budgeted & Unbudgeted up to \$1M	Division President for Division, V.P. Finance for Corporate	Division V.P., Finance for Division, C.F.O. for Corporate
Budgeted & Unbudgeted over \$1M	Division President & C.E.O.	Division V.P., Finance & C.F.O.

26. Required Approvals up to \$1M

	Print Name	Sign Name	Date
Operational	LIM EE LING		18/10/2018
Financial	ALAN TAN		18/10/2018

Corporate Finance Approval (Initial & Date) _____

Entered Into SAP (Initial & Date) _____

V. Purpose

☐ Health & Safety	☐ Development of Revenue
☐ Regulatory Requirement	☐ Cost Reduction Program
☐ Maintain Existing Asset	
☐ Replace Existing Asset	
☒ Other.....	

Asset # & Date Acquired: _____

27. Please provide background information, reason for request, additional description of item/project and economics of proposal.

The servers at Contract Manufacturers have been used for manufacturing since 2011 and have issues to back up. It's suggested a server renewal after accessing by IT manager.

VI. Tax Information

28. Is this capital expenditure directly *and* exclusively related to manufacturing or R&D and to be used in a non-administrative capacity?..... ☐ Yes ☒ No
29. If 28 "Yes", will it be used for pollution control or waste removal?..... ☐ Yes ☒ No
30. If this capital expenditure is for R&D only, will it be used for quality control?..... ☐ Yes ☒ No
31. Is this capital expenditure for a repair to or enhancement of real property (i.e. building or land)?..... ☐ Yes ☒ No
32. If 31 "Yes", will there be a contractor providing labor and materials?..... ☐ Yes ☐ No
33. If 31 "Yes", is the project a lump sum contract or will materials and labor be billed separately?..... ☐ Yes ☐ No

VII. Timing of Project

	Current Year				Next Year	Total
	Q1	Q2	Q3	Q4	Carryover	
Approvals (k\$)				28.95		28.95
Spending (k\$)				28.95		28.95

VIII. Budget

34. What quarter was this budgeted in?

Current Year			
Q1	Q2	Q3	Q4

35. Provide explanation if CEA is unbudgeted (partially or fully).

The servers at Contract Manufacturers have been used for manufacturing since 2011 and have issues to back up. It's suggested a server renewal after accessing by IT manager. To utilise overall WPPL appropriation.

IX. Additional Approvals

36. Required Approvals up to \$1M

	Print Name	Sign Name	Date
Operational	JIM MCPHERSON		
Financial	LAURA COLE		

37. Other Approvals, Not Required

	Print Name	Sign Name	Date
TEST ENGINEERING			

QUOTATION

Company	: Waters Pacific Pte Ltd	Ref	: DT/Waters/270918-APCr1.2
Attn	: Mr. Chong Chin Ping	Revised Date	: 12th October 2018
Tel	: 6593 7136	Validity	: 14 days
Fax	: 6873 0733	Payment Terms	: 30 days
Deliver	: est. 1 week upon order confirmation	No of Pages	: 1

Item	Descriptions	Part Number	Qty	Unit Price S\$	Total Price S\$
1	APC Smart-UPS X 750VA Rack/Tower LCD 230V	SMX750I	4	\$800.00	\$3,200.00
2	APC Smart-UPS 750VA LCD 230V	SMT750I	1	\$500.00	\$500.00
3	APC Temperature & Humidity Sensor * 8-12 weeks. Subject to APC warehouse availability	AP9335TH	5	\$190.00	\$950.00
4	UPS Network Management Card with PowerChute Network Shutdown & Environmental Monitoring * Is require if purchase Temperature & Humidity Sensor	AP9631	5	\$640.00	\$3,200.00
Above quoted exclude installation services					
Subtotal :					\$7,850.00
7% GST :					\$549.50
Total Investment with GST :					\$8,399.50

SEP ME
1:3656
USD
5,748.4

Terms and Conditions :

- 1) There will be a \$35 delivery charges for order below \$500 before GST.
- 2) All cancellation is subjected to 50% of Purchase Order value upon signing of Purchase Order
- 3) All cancellation is subjected to 80% of Purchase Order value upon signing of Delivery Order
- 4) Payment Terms : 30 days

Thank You & Best Regards,

Diane Tay

Inside Sales, Manager
DDI: 6505 6413
Mobile : 9841 4899
Email: diane.tay@gen-net.com.sg

I accept the above conditions to purchase

Company Stamp / Signature / Date
Name :
Designation :

1 Price Summary

Description:

	Qty:	Unit Price Ex GST:	Total Price Ex GST:
PowerEdge T330 Server	2	5,180.00	10,360.00
PowerEdge R330 Server	4	5,330.00	21,320.00

Subtotal**31,680.00**

GST: 7% (31,680.00)

2,217.60

Total**SGD 33,897.60**

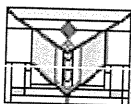
Sep ME
Rate =
1.3656
USD
73,198.60

Quote No:	Customer No:	Payment Term:	End User Name:
31917688/4	321001205	30 Days Inv.	Waters Pacific Pte Ltd
Total :SGD 33,897.60			
Billing Address: Waters Pacific Pte Ltd CHONG CHIN PING 1 SCIENCE PARK ROAD #02-08 THE CAPRICORN SINGAPORE SCIENCE PARK II SINGAPORE 117528 SINGAPORE		Shipping Address: Waters Pacific Pte Ltd CHIN PING CHONG 1 SCIENCE PARK ROAD #02-01/06 THE CAPRICORN SINGAPORE SCIENCE PARK II SINGAPORE 117528 SINGAPORE	
Customer PO Number:		Customer Signature:	
(1) The transaction in this document (all sales and services) is subject to Dell's Terms and Conditions available on www.dell.com/ap or on request. Services are provided by Dell as described in the relevant service agreement (which may comprise order forms or "Service Descriptions" available at www.Dell.com/ServiceContracts, "Technical Specification Forms" or "Statements of Work". (2) By signing a Dell Quotation and/or submitting a purchase order pursuant to Dell's Quotation, you acknowledge that you have read and agree to be bound by Dell's Terms and Conditions and any referenced Service Agreement (together the "Agreement"). This Agreement is the final agreement between the parties in connection with the transaction, replacing any preprinted or other terms in any purchase order, invoice or other document issued by either party. (3) The Agreement may not be amended or varied by any other subsequent terms or conditions imposed by you without: (a) prior written approval and signature of Dell's Segment General Manager or their delegate; and (b) a specific reference to this paragraph (3). (4) These commodities, technology or software were exported in accordance with the United States' Export Administration Regulations. Diversion contrary to US law is prohibited. The recipient of goods is required to present his/her identification **prior to delivery of goods. Our Logistics Partner will note down the recipient's name and IC / Passport number on the Proof of Delivery. This is done purely for security purposes. Failing to comply will result in delayed delivery. For the protection and security of your Credit Card information, Dell requests that you DO NOT send any Credit Card information through either email or fax. (5) Failure to take delivery and collection of Products on the date(s) advised by Dell, shall entitle Dell the right to charge a penalty of USD2.00 per carton for each day that the carton is left uncollected in Dell's warehouse until the actual collection date.			

Payment Information:

If you have chosen to pay by cheque or transfer, please find the payment details you need below
Please write quote number 31917688/4 on the reverse of the cheque or enter it in the customer reference field of your transfer
Payment Payable To "Dell Global B.V. (Singapore Branch)."
Please send payment to :Dell Global B.V. (Singapore Branch) Robinson Road Post Office,P.O.Box 1899, Singapore 903749.
Banker : Citibank N.A. Singapore A/C 0-820826-019
Invoice/Pymt Inquiry Tel : 604-6334880/5756/5010
Invoice/Pymt Inquiry Fax: 604-6339880/7756/0010
Customer Care: 1-800-394 7486
Check your order status (Web): www.dell.com.sg/le/orderstatus





Re: WPPL CEA for approval 

Laura J Cole to: Liyi Ang

Cc: Alan Tan, Rui Jie Kee

26.10.2018 02:38 AM

From: Laura J Cole/Waters
To: Liyi Ang/Waters@WATTS WATERS SALES
Cc: Alan Tan/Waters@WATTS WATERS SALES, Rui Jie Kee/Waters@WATTS WATERS SALES

Hi Liyi;

I have reviewed the below CEAs and approve.

regards,

Laura J. Cole
Senior Director, Global Operations
Finance

Waters Corporation
34 Maple Street | Milford
MA 01757 | USA

[T] 508 482 2153

[F] 508 482 3373

[M] 508 904 4631

[W] www.waters.com

[E] Laura_J_Cole@waters.com

Liyi Ang

Hi Laura Appreciate your response on the ap...

10/24/2018 10:32:38 PM

From: Liyi Ang/Waters
To: Laura J Cole/Waters@WATTS Waters Sales
Cc: Alan Tan/Waters@WATTS WATERS SALES, Rui Jie Kee/Waters@WATTS WATERS SALES
Date: 10/24/2018 10:32 PM
Subject: Re: WPPL CEA for approval

Hi Laura

Appreciate your response on the approval for below CEA.



WPPL18023 Tool and Fixture for Heater Transfer Line.pdf



WPPL18024 Aluminium hot foaming tool (401001048 & 1049).pdf



WPPL18025 Servers Upgrades.pdf

Thanks and best regards,

Ang Liyi
Assistant Accountant



[T] +65 6593 7425
[F] +65 6873 0820
[W] www.waters.com
[E] liyi_ang@waters.com



Waters Pacific Pte Ltd
1 Science Park Road
#02-08 The Capricorn
Singapore Science Park II
Singapore 117528

Jim McPherson

Please use this email as my approval for the...

19.10.2018 04:15:22 AM

From: Jim McPherson/Waters
To: Liyi Ang/Waters@WATTS WATERS SALES
Date: 19.10.2018 04:15 AM
Subject: Re: WPPL CEA for approval

Please use this email as my approval for the CEAs attached below.

Thank you
Jim

James McPherson
Sr Director of Strategic
Sourcing

[T] 508-482-2652
[F] 508-482-3593
[M] 508-904-7796
[W] www.waters.com
[E] Jim_McPherson@waters.com



Waters Corporation
34 Maple Street
Milford
MA 01757
USA

[attachment "McPherson_James.vcf" deleted by Liyi Ang/Waters]

Liyi Ang

Hi Jim and Laura, Please find attached CEA f...

10/17/2018 11:00:55 PM

From: Liyi Ang/Waters
To: Jim McPherson/Waters@WATTS Waters Sales, Laura J Cole/Waters@WATTS Waters Sales
Cc: Alan Tan/Waters@WATTS WATERS SALES, Rui Jie Kee/Waters@WATTS WATERS SALES
Date: 10/17/2018 11:00 PM
Subject: WPPL CEA for approval

Hi Jim and Laura,

Please find attached CEA for your approval:

[attachment "WPPL18023 Tool and Fixture for Heater Transfer Line.pdf" deleted by Jim McPherson/Waters]

[attachment "WPPL18024 Aluminium hot foaming tool (401001048 & 1049).pdf" deleted by Jim McPherson/Waters]

[attachment "WPPL18025 Servers Upgrades.pdf" deleted by Jim McPherson/Waters]

Thanks and best regards,

Ang Liyi